EMTALA 2021 Update

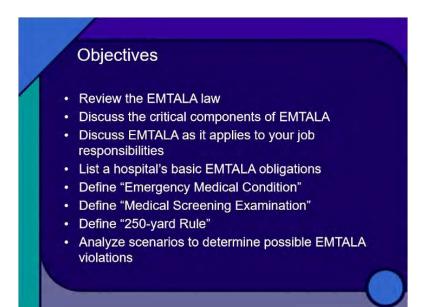
1. EMTALA 2008

1.1 EMTALA



Notes:

1.2 Objectives



1.3 Updates



1.4 Menu

Menu (you must visit each section	n)
Introduction	Documentation
Patients covered under EMTALA	Enforcement
Medical Screening Examination	Summary
Emergency Medical Condition	Case Studies
Stabilization	Definitions
Transfers	Additional Resources
EMTALA Expansion to Physicians	Bibliography

Notes:

1.5 EMTALA - the law



1.6 Introduction to EMTALA

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1.7 Introduction to EMTALA

Introduction to EMTALA

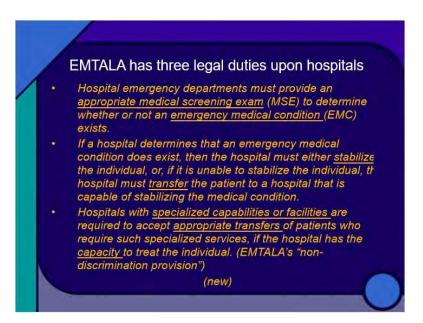
EMTALA applies only to participating hospitals. These are hospitals which have entered into "provider agreements" under which they will accept payment from the Department of Health and Human Services, Centers for Medicare and Medicaid Services (CMS).*

*FirstHealth has provider agreements with CMS.

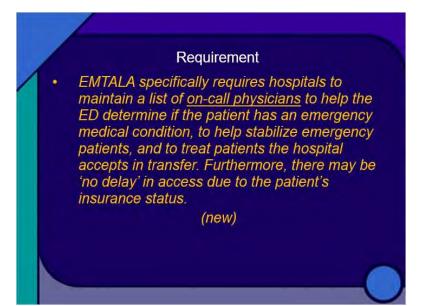
The Emergency Medical Treatment and Labor Act (EMTALA) governs virtually every aspect of hospital-based emergency services. Failure to comply with this federal law subjects the hospital to costly government investigations, adverse public relations, potential monetary penalties and/or termination from Medicare, and substantial civil liability. Medical staff leaders and the individual members of the medical staff, particularly those who take call to the emergency department, must have a strong working knowledge of the law.

(new)

1.8 EMTALA primary requirements



1.9 EMTALA primary requirements



1.10 EMTALA Signage



Notes:

• Approximate size 18x20



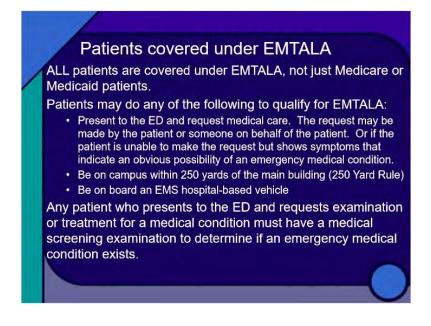
1.11 EMTALA sign in the MRH ED

1.12 Who is covered under EMTALA?

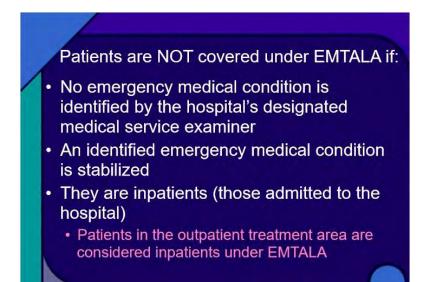


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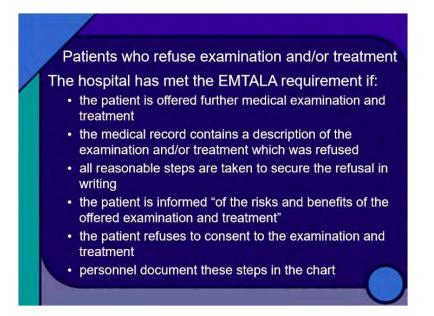
1.13 Patients covered under EMTALA



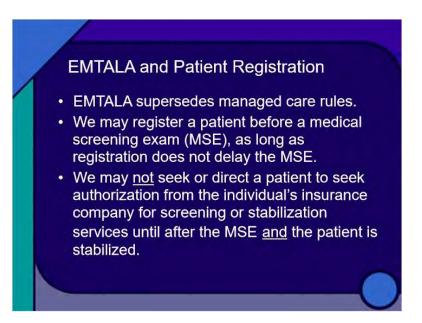
1.14 Patients are NOT covered under EMTALA if:



1.15 Patients who refuse examination and/or treatment



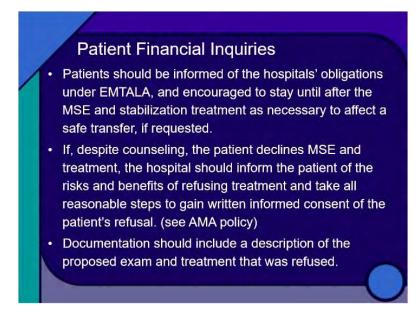
1.16 EMTALA and Patient Registration



Notes:

- •Pre-authorization calls are in violation of the law before a MSE.
- •Medicare/Medicaid bans their managed care plans from requiring pre-authorization
- Hospital paid pay \$40,000 for allegations that it denied MSE to two patients because their primary care physicians denied payment authorization.

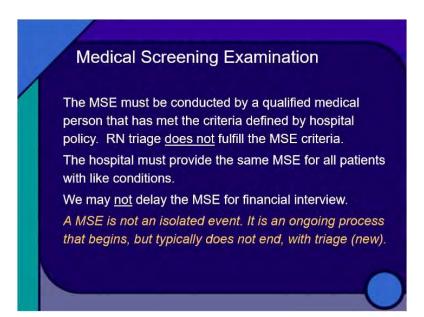
1.17 Patient Financial Inquiries



1.18 What is an EMTALA "Medical Screening Exam"?



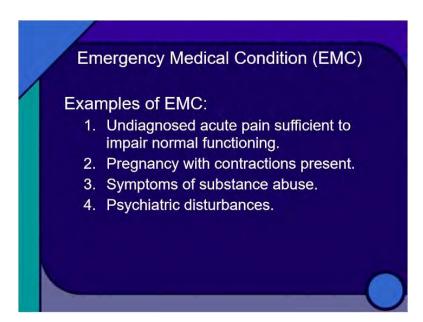
1.19 Medical Screening Examination



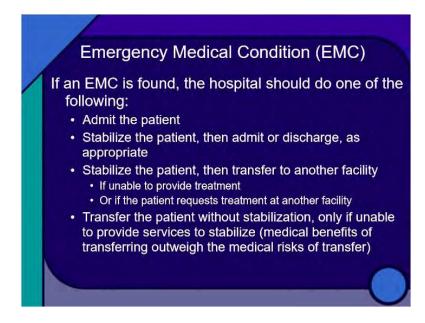
1.20 How do we know if it's an emergency medical condition?



1.21 Emergency Medical Condition (EMC)



1.22 Emergency Medical Condition (EMC)



1.23 When is a patient considered stable?

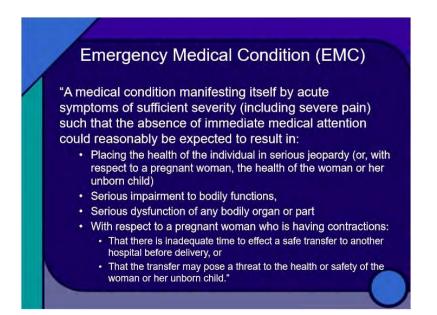


1.24 Stabilization of Patients

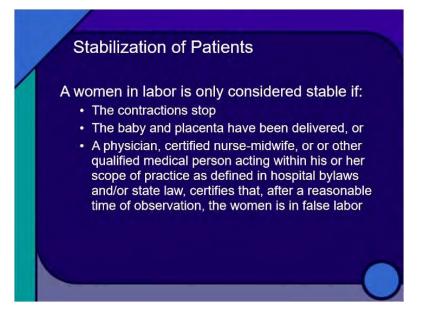
Stabilization of Patients

A patient is considered stable for discharge if the physician reasonably believes that the patient could receive necessary follow-up care on an outpatient basis, or on a later scheduled in-patient basis. The patient must be given appropriate discharge instructions and a reasonable plan for follow-up care. The patient's understanding should be documented.

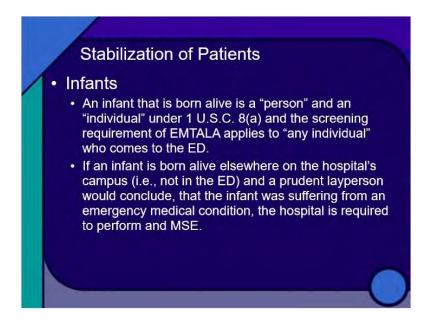
1.25 Emergency Medical Condition (EMC)



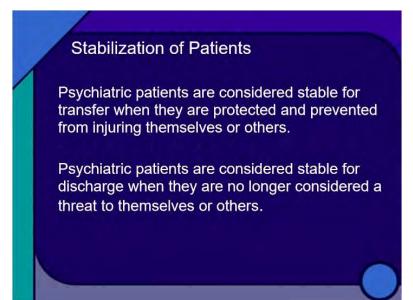
1.26 Stabilization of Patients



1.27 Stabilization of Patients



1.28 Stabilization of Patients



1.29 When is it okay to transfer patients?



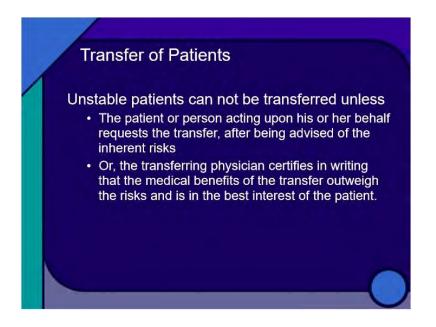
1.30 Transfer of Patients

EMTALA's Definition of Transfer

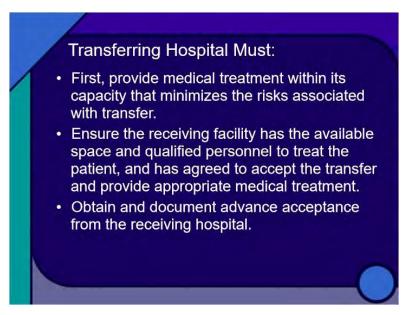
Transfer means the movement (including the discharge of an individual outside a hospital's facilities at the direction of any person employed by (or affiliated, directly or indirectly with) the hospital, but does not include a movement of an individual who (A) has been declared dead, or (B, leave the facility without the permission of any such person.

(new)

1.31 Transfer of Patients



1.32 Transferring Hospital Must



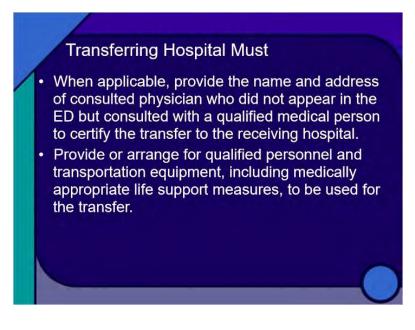
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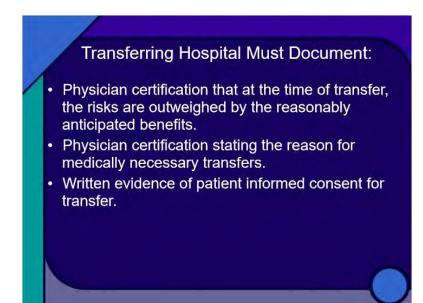
1.33 Transferring Hospital Must



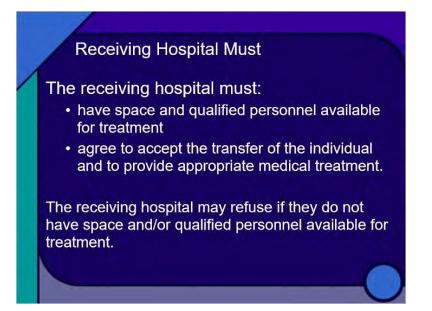
1.34 Transferring Hospital Must



1.35 Transferring Hospital Must Document:



1.36 Receiving Hospital Must



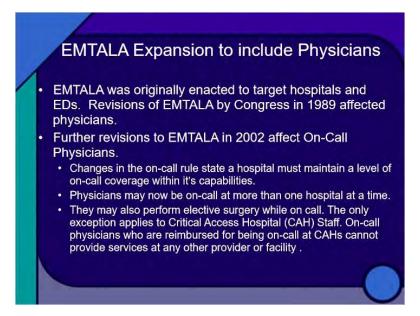
Notes:

- May be declined when the hospital does not have the capacity to handle the patient
- To Regional Office of CMS

1.37 EMTALA and Physicians



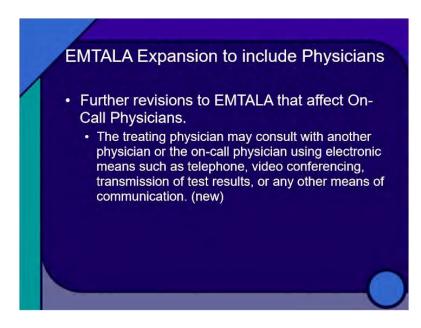
1.38 EMTALA Expansion to include Physicians



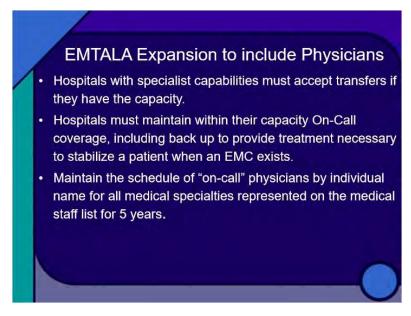
Notes:

• Cases over the years established that commonly, unstable transfers from ED's were necessitated by the refusal of on-call physicians to provide care.

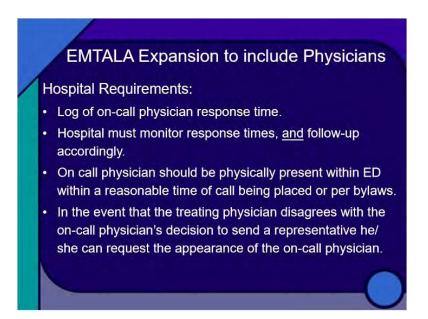
1.39 EMTALA Expansion to include Physicians



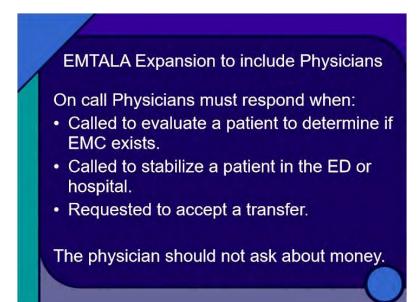
1.40 EMTALA Expansion to include Physicians



1.41 EMTALA Expansion to include Physicians



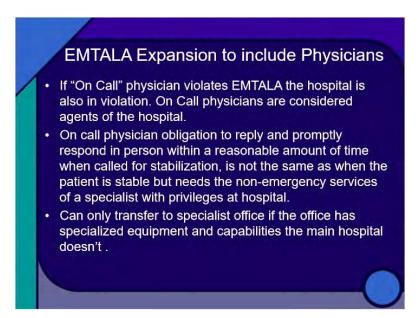
1.42 EMTALA Expansion to include Physicians



Notes:

If they are asking about money, they are planning on that making a difference. Physician may ask anything he wants but you are not permitted to answer. If asked do not provide the information even if the patient is fully insured

1.43 EMTALA Expansion to include Physicians

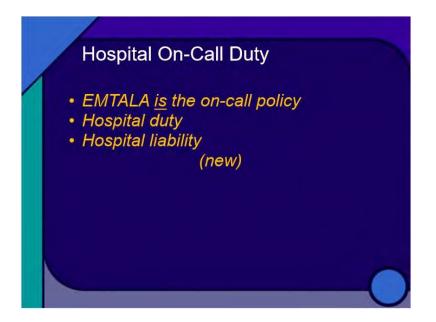


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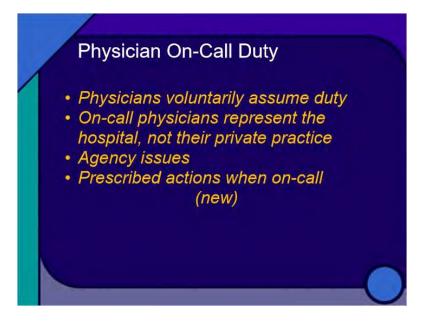
EMTALA RULING

Woman was brought into the ED S/P MVA with severe injuries. The on call surgeon was attending a CME session in a nearby town 30 miles away. He had not notified the hospital he would be unavailable. He was unreachable for 1 hour. After discussion between the EDMD and the on-call doctor it was decided the patient would be transferred to a university hospital. Due to bad weather the patient had to be transported by ground ambulance. Patient was not operated (she had a kidney, her gall bladder, colon and part of her small intestine removed) until 4 hours after arrival to the original ED. The doctor was held liable for the patients delay in treatment

1.44 Hospital On-Call Duty



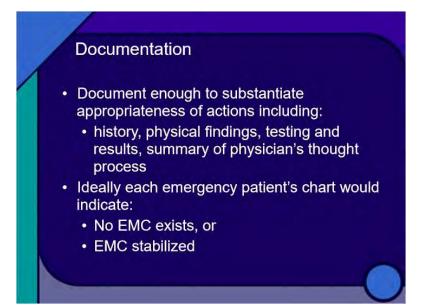
1.45 Physician On-Call Duty



1.46 What do we need to document on the patient's chart?



1.47 Documentation



1.48 EMTALA Enforcement



1.49 Enforcement

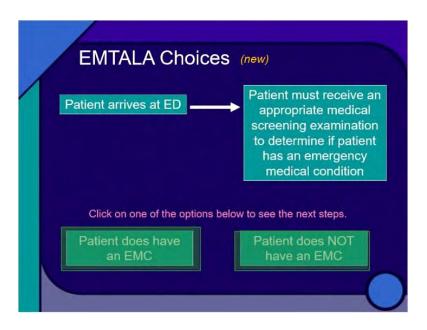
Enforcement

Facilities which fail to provide this standard of care may be fined up to *\$100,000.00* per violation and may face termination of CMS participation. *(new)*

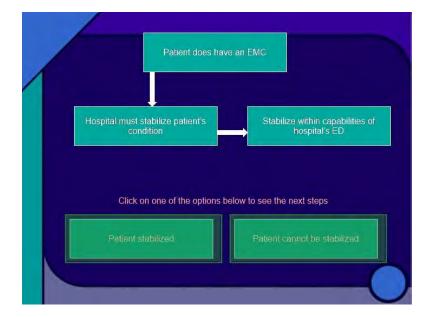
Patients have the right to sue hospitals for EMTALA violations!

These penalties are <u>not</u> covered by malpractice insurance.

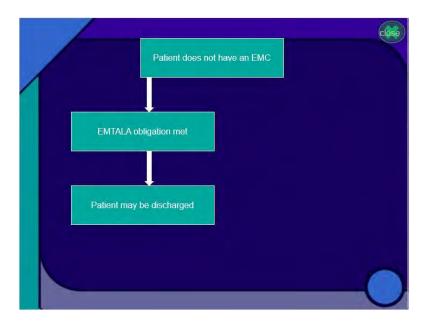
1.50 EMTALA Choices



Patient does have an EMC (Slide Layer)



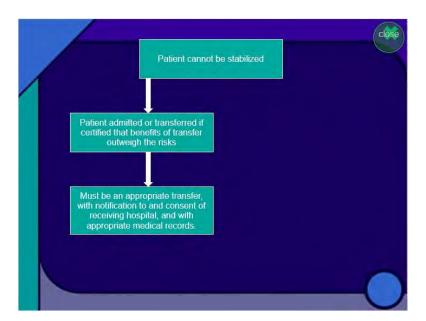
Patient does NOT have an EMC (Slide Layer)



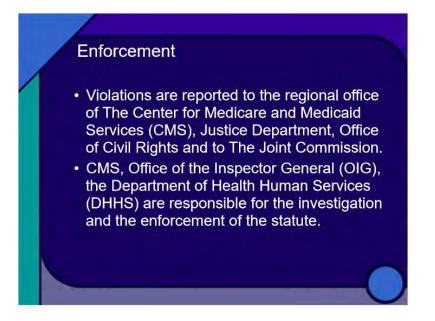
Patient stabilized (Slide Layer)



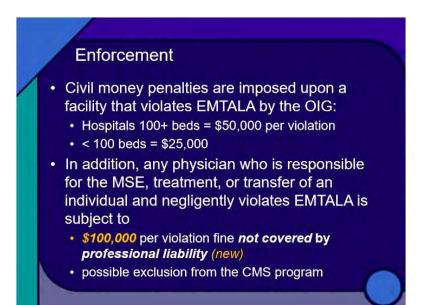
Patient cannot be stabilized (Slide Layer)



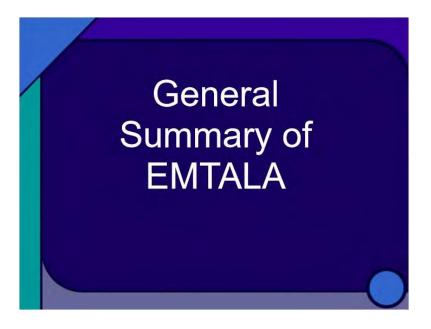
1.51 Enforcement



1.52 Enforcement



1.53 General Summary of EMTALA



1.54 Summary

Summary

Typical scenario: A patient comes to the dedicated ED and makes a request for treatment. This is the standard scenario that triggers the hospital's responsibilities under EMTALA to screen and stabilize the patient prior to discharge or transfer.

1.55 Summary

Summary

<u>No request made</u>: A patient comes to the dedicated ED but makes no request for treatment. However, the patient appears pale and although quiet, does not appear to be cognizant of his surroundings. Under the new rule, even though no request was made, a prudent layperson would believe that such a person is likely in need of medical treatment, and the patient should be screened and stabilized if necessary.

1.56 Summary

Summary

Not in the ED: A patient arrives at a hospital department, e.g. a psychiatric unit, which provides some emergency services but is not the hospital's usual ED. The patient's complaints are physical—extreme pain in the left flank area —and not psychiatric in nature. The psychiatric unit will be considered a dedicated ED for purposes of EMTALA. The patient should get an appropriate medical screening to the extent possible by the unit's qualified personnel, <u>or</u> procedures should be in place to promptly obtain an appropriate screening and follow-up at that same hospital's emergency department.

1.57 Summary

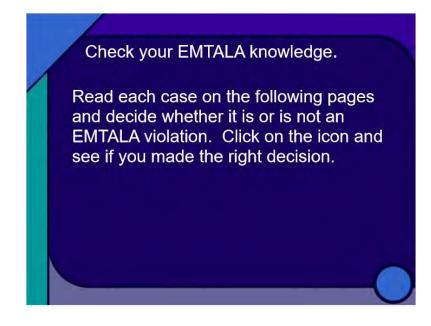
Summary

EMTALA has remained steadfast in seeking penalties for any of the following negligent violations:

- Failing to provide an appropriate medical screening examination to a patient seeking emergency medical care
- Failing to provide stabilizing treatment to an individual who has an emergency medical condition
- Transferring a patient in an inappropriate manner
- Failing to document process for a patient leaving against medical advice

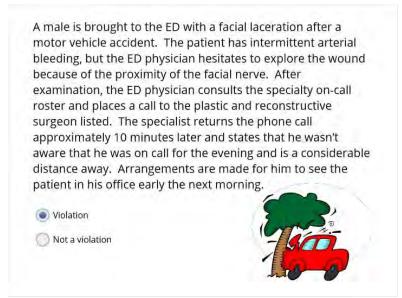
2. Case Studies

2.1 Check your EMTALA knowledge.



2.2 Case 1

(True/False, 10 points, 1 attempt permitted)



Correct	Choice
х	Violation
	Not a violation

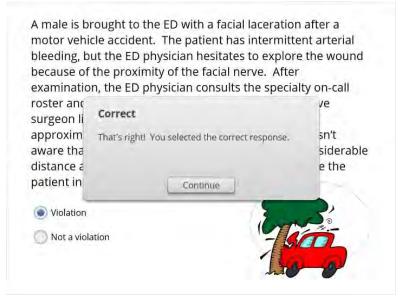
Feedback when correct:

That's right! You selected the correct response.

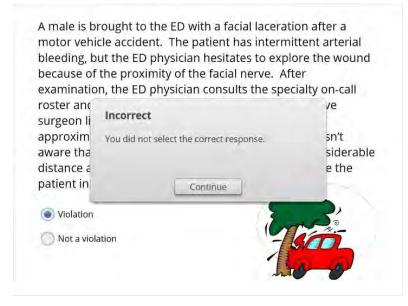
Feedback when incorrect:

You did not select the correct response.

Correct (Slide Layer)



Incorrect (Slide Layer)



2.3 Case four: Violation or Not?



2.4 Case two – Violation!

Case two – Violation!

In 1994, CMS refined the obligations of hospitals to include language that prohibits them from making any courtesy or preauthorization calls to payers before completion of the medical screening examination and stabilization. However, hospitals may ask ED patients whether they are insured and by which companies as part of the normal registration process, as long as these questions don't delay emergency screening.

2.5 Case one – Violation !



This case is an obvious EMTALA violation. Oncall physicians must respond to the hospital in a timely manner and render evaluation and care in the hospital at the request of the physician in the ED.

2.6 Case three – Violation!

Case three – Violation!

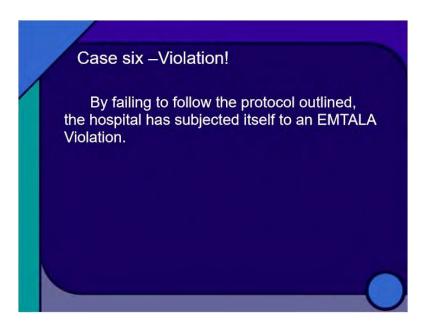
EMTALA requires that hospitals provide an appropriate medical screening examination by qualified medical personnel (as identified by the hospital's bylaws, rules, and regulations) to any patient who presents upon its premises and requests (or has someone request for him or her) care for an emergency medical condition. The best practice in this case would be to accept the patient in the ED, evaluated and stabilize the patient to the extent possible, and then transfer the patient.

2.7 Case five – Not a violation!

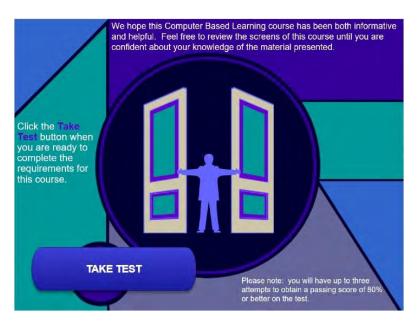
Case five – Not a violation!

CMS requires that the on-call list include every specialty privileged in the hospital, unless too few physicians exist in a specialty to provide coverage on call. If the hospital determines that there are too few physicians in a particular specialty to provide on-call coverage, CMS requires that a policy be in place that addresses how patients will be cared for when the specialist is not available. Note that CMS has not established a set number of what it considers "too few". Therefore, this case is not an EMTALA violation.

2.8 Case six –Violation!



2.9 We hope this Computer Based Learning course has been both informative and helpful. Feel free to review the screens of this course until you are confident about your knowledge of the material presented.



2.10 Definitions

Definitions EMTALA - Emergency Medical Treatment and Labor Act EMTALA - Emergency Medical Treatment and Labor Act CMS - Centers for Medicare and Medicaid Services, a division of the Dept. of Health and Human Services. Responsible for the Medicare program and the development of enforcement of regulations on EMTALA. 250 Yard Rule - covers any patient who present to the wrong door requesting treatment, as well as anyone in the parking lots, sidewalks or driveways. (i.e. on hospital property) Transferring Hospital - a facility at which a patient is seen initially and whose personnel determine that transfer to another facility is warranted. Receiving Hospital - a facility to which a patient is transferred

2.11 Definitions

Definitions

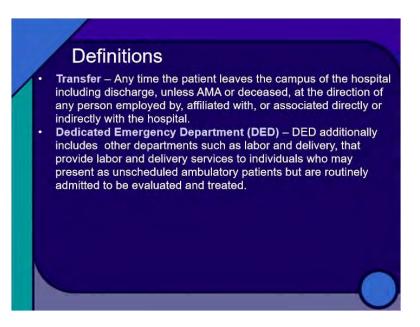
- Emergency Medical Condition (EMC) "Acute symptoms of sufficient severity(pain, psychiatric, substance abuse) that absence of immediate medical attention could reasonably result in serious jeopardy, serious impairment of bodily functions or serious dysfunction of any body organ. In the case of a pregnancy with contractions, inadequate time to safely transfer or if transferred may pose threat to health and safety of mother and/ or child."
- Prudent layperson standard EMC as judged by a prudent layperson, with an average knowledge of health and medicine, who could reasonably expect the absence of immediate medical attention to result in "serious" threat to health or injury
- Qualified Medical Personnel (QMP) Person designated per hospital bylaws, rules/regulations or policy in accordance with federal and state rules and regulations.

2.12 Definitions

Definitions

- Stabilize "Provide such medical treatment of the condition as may be necessary to assure, within reasonable medical probability, that no material deterioration of the condition is likely to result from or occur during the transfer of the individual from a facility, or, with respect to pregnant women who is having contractions that the women has delivered (infant and placenta)."
- Medical Screening Exam (MSE) Exam performed by a qualified medical person(QMP), includes all tests necessary to rule out an EMC within the hospital's or ED's capabilities, is completed uniformly and non-discriminatory.
- Labor Federal Regulations define Labor as the process of childbirth beginning with the latent or early phase of labor and continuing thru the delivery of the placenta.

2.13 Definitions



2.14 Case 2

A female with a history of asthma presents to the ED complaining of a persistent cough. During her assessment by the triage nurse, she states that she can't afford any more unexpected medical bills and requests that the nurse check with her insurance company to find out whether it will pay for the ED visit. The triage nurse agrees to the request and asks the patient to have a seat in the waiting room while the registration secretary contacts the patient's health maintenance organization for treatment authorization.

Violation

🔘 Not a violation



Correct	Choice
х	Violation
	Not a violation

Feedback when correct:

That's right! You selected the correct response.

Feedback when incorrect:

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2.15 Case 3

The hospital's ambulance service calls to report on a patient in transport who is experiencing chest pain, shortness of breath, and a heart rate of 45. The estimated time of arrival is two minutes. The physician answering the call is aware that the hospital's intensive care unit is full and tells the EMS crew to divert to the next closest facility, which is four miles away.

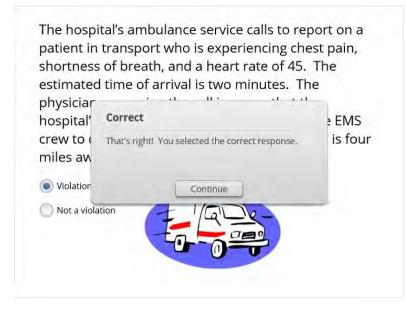


Correct	Choice
х	Violation
	Not a violation

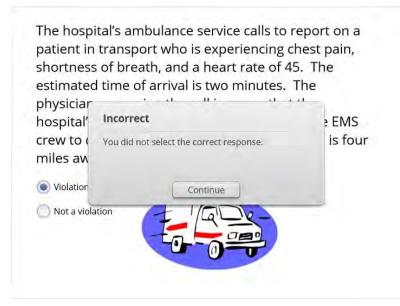
Feedback when correct:

That's right! You selected the correct response.

Feedback when incorrect:



Incorrect (Slide Layer)



2.16 Case 4

All of the cardiothoracic surgeons on the medical staff are members of a single, large practice group. The group has determined that it is unable to provide ED on-call coverage due to the demands of its large inpatient practice at the facility. The practice manager has informed hospital administration that any attempt to force the group to provide on-call coverage may result in it moving its highly respected and profitable inpatient practices to another facility. Hospital administration has agreed to abide by the group's wishes and has instructed the ED physicians to stabilize and transfer patients who need emergency cardiothoracic evaluation and treatment.

Violation

Not a violation



Correct	Choice
х	Violation
	Not a violation

Feedback when correct:

That's right! You selected the correct response.

Feedback when incorrect:

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Incorrect (Slide Layer)

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2.17 Case 5

There are two neurosurgeons on the medical staff, one of whom has begun to limit his practice as he nears retirement. Although willing to provide on-call coverage on a limited basis, they are unwilling to provide continual coverage, particularly since the younger of the two maintains his primary practice at the community's other hospital. Hospital administration has agreed to abide by their wishes and has established a transfer agreement with the other local hospital for times when there is no neurosurgeon available to provide ED on-call coverage.



Correct	Choice
	Violation
Х	Not a violation

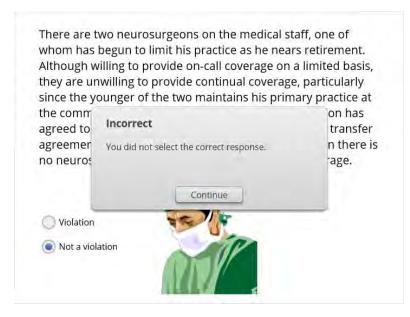
Feedback when correct:

That's right! You selected the correct response.

Feedback when incorrect:

There are two neurosurgeons on the medical staff, one of whom has begun to limit his practice as he nears retirement. Although willing to provide on-call coverage on a limited basis, they are unwilling to provide continual coverage, particularly since the younger of the two maintains his primary practice at the comm on has Correct agreed to transfer agreemer n there is That's right! You selected the correct response. no neuros age. Continue O Violation Not a violation

Incorrect (Slide Layer)



2.18 Case 6

Missed emergency medical condition: Patient arrives at the hospital ED and informs hospital staff that he thinks he has a cold. The patient does not appear to be in any distress or discomfort, and further questioning by staff indicates that the patient believes that he is not very sick at all. The patient does not appear to have an emergency medical condition, so the normal documentation procedures are followed. Using the new relaxed standard for patients who present with non-emergency symptoms, and given the nature of the patient's request and his overall appearance, the hospital sends this individual home with instructions to take aspirin and OTC cold medicine. No one screens the patient, takes a medical history, or documents the patient's complaints, or obtains his signature indicating that he is not seeking emergency treatment. Later that evening, the patient develops an extremely high fever and delirium, and has to be transported to the hospital via ambulance and admitted.



Not a violation

Correct	Choice
х	Violation
	Not a violation

Feedback when correct:

That's right! You selected the correct response.

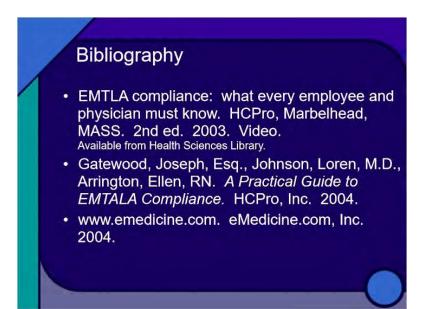
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signature i that evenir	Continue	ent. Later ıd delirium
-	e transported to the hospital via ambulan	ce and admitted.
Violation		

2.19 Bibliography



2.20 Additional Resources

